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Docket No.: E3331.0417  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Peter R. Horsfall et al.

Application No.: 09/753,940

Confirmation No.: 1745

Filed: January 3, 2001

Art Unit: 3628

For: CONVERSATIONAL DEALING SYSTEM

Examiner: H. T. Dass

**COMMUNICATION REGARDING PREVIOUSLY FILED INFORMATION DISCLOSURE  
STATEMENT**

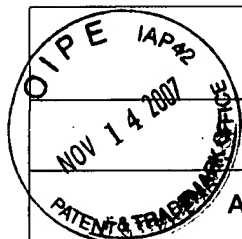
MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Dear Sir:

Examiner Dass informed the undersigned in a November 13, 2007 telephone interview that the electronic version of the Information Disclosure Statement filed on July 19, 2007 was of a poor resolution. The Examiner requested that a new copy of that document be submitted by hand for placement in the file. In response to this request, Applicants submit herewith a copy of the July 19, 2007 Information Disclosure Statement.

Dated: November 13, 2007

Respectfully submitted,

By Joseph W. Ragusa  
Joseph W. Ragusa  
Registration No.: 38,586  
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## Electronic Acknowledgement Receipt

EFS ID:	1987967
Application Number:	09753940
International Application Number:	
Confirmation Number:	1745
Title of Invention:	Conversational dealing system
First Named Inventor/Applicant Name:	Peter R. Horsfall
Correspondence Address:	STEVEN I WEISBURD, ESQ. DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP 1177 AVENUE OF THE AMERICAS - 41ST FLOOR - NEW YORK NY 10036-2714 US - -
Filer:	Michael J. Scheer/Inga Hildreth
Filer Authorized By:	Michael J. Scheer
Attorney Docket Number:	P/3879-3
Receipt Date:	19-JUL-2007
Filing Date:	03-JAN-2001
Time Stamp:	10:51:18
Application Type:	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed	Document.pdf	493972 149df6a0cc48ceef230041fedbc88836a7ea88c5	no	3

**Warnings:**

**Information:**

This is not an USPTO supplied IDS fillable form

<b>Total Files Size (in bytes):</b>	493972
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



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**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

I hereby certify, pursuant to 37 CFR 1.97(e)(2), that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, no item of

information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The newly-cited reference was recently made of record in co-pending Application No. 10/206,617, also being examined by Examiner Harish T. Dass of Art Unit 3693.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications.

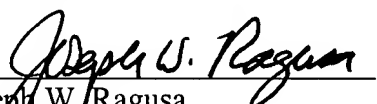
In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed reference.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215, under Order No. E3331.0417.

Dated: July 18, 2007

Respectfully submitted,

By   
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